

Audit and Governance Committee

Date: Tuesday, 17 September 2019
Time: 10.00 am
Venue: Committee Room A/B, South Walks House,
South Walks Road, Dorchester, DT1 1EE

Membership: (Quorum 3)

Matthew Hall (Chairman), Richard Biggs (Vice-Chairman), Simon Christopher, Susan Cocking, David Gray, Brian Heatley, Nocturin Lacey-Clarke, Mike Parkes, Bill Pipe and Bill Trite

Chief Executive: Matt Prosser, South Walks House, South Walks Road, Dorchester, Dorset DT1 1UZ (Sat Nav DT1 1EE)

For more information about this agenda please contact Democratic Services on 01305 252209 - lindsey.watson@dorsetcouncil.gov.uk



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Members of the public are welcome to attend this meeting with the exception of any items listed in the exempt part of this agenda. **Please note** that if you attend a committee meeting and are invited to make oral representations your name, together with a summary of your comments will be recorded in the minutes of the meeting. Please refer to the guide to public participation at committee meetings for more information about speaking at meetings.

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A G E N D A

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1 APOLOGIES

To receive any apologies for absence.

2 MINUTES

5 - 12

To confirm the minutes of the meeting held on 26 July 2019.

3 DECLARATIONS OF INTEREST

To receive any declarations of interest.

4 PUBLIC PARTICIPATION

To receive questions or statements on the business of the committee from town and parish councils and members of the public.

5 URGENT ITEMS

To consider any items of business which the Chairman has had prior notification and considers to be urgent pursuant to section 100B (4) b) of the Local Government Act 1972. The reason for the urgency shall be recorded in the minutes.

6 PRESENTATION - DORSET COUNCIL EU EXIT PREPARATIONS

To receive a presentation from the Executive Director of Place with regard to preparations being made by Dorset Council in respect of EU Exit.

7 RISK MANAGEMENT UPDATE

13 - 22

To receive an update in respect of risk management issues.

8 FINANCE REGULATIONS

23 - 34

To undertake a review of the Dorset Council Finance Regulations, as contained within the Constitution.

9 TREASURY MANAGEMENT ANNUAL REPORT 2018/19 35 - 46

To receive the Treasury Management Annual Report.

10 FINANCE REPORT QUARTER 1 2019/20 47 - 60

To consider the Finance Report Quarter 1 2019/20 as presented to Cabinet on 30 July 2019.

11 PRESENTATION - OVERVIEW OF THE PENSIONS FUND

To receive a presentation to provide an overview of the Pension Fund.

12 REPORT OF INTERNAL AUDIT ACTIVITY PLAN PROGRESS 2019/20 - AUGUST 2019 61 - 70

To receive a report from South West Audit Partnership (SWAP).

13 2019/20 INTERNAL AUDIT PLAN - OCTOBER - MARCH 71 - 80

To receive a report of South West Audit Partnership (SWAP).

14 AUDIT AND GOVERNANCE COMMITTEE WORK PLAN 81 - 84

To review the Work Plan for the Audit and Governance Committee.

15 EXEMPT BUSINESS

To move the exclusion of the press and the public for the following item in view of the likely disclosure of exempt information within the meaning of paragraph x of schedule 12 A to the Local Government Act 1972 (as amended).

The public and the press will be asked to leave the meeting whilst the item of business is considered.

There is no exempt business.